

## The Starling Compendium

Contributors 2018-2024

he Starling Compendium is our annual report in which we curate information from around the world regarding a regulatory reform agenda that addresses culture and conduct-related risks in the financial sector. The Compendium series has featured over 150 contributors, among them: policymakers, regulators and supervisors; industry boards and executives; international standard setting bodies and associations; renowned scholars and other stakeholders.



## **PREAMBLE**



ANDY HALDANE (2021) Chief Economist, Bank of England



MICHAEL HSU (2023)
Acting Comptroller, US Office of the Comptroller of the Currency



ELIZABETH MCCAUL (2024)
Member of the Supervisory Board,
European Central Bank



**GREG MEDCRAFT (2019)**Director, Directorate for Financial and Enterprise Affairs, OECD



WIJNAND NUIJTS (2020) Head, Expert Centre for Governance, Behaviour and Culture, DNB



GILLIAN TETT (2022)
Chair of the Editorial Board and Editorat-Large, Financial Times

IN FOCUS

With leading policymakers, regulators and industry bodies



TIM ADAMS (2021)
President & CEO, The Institute of
International Finance



ONG-ANG AI BOON (2021)
Director, Association of
Banks in Singapore



**GREG BAER (2024)**President & CEO, Bank Policy Institute



**ANNA BLIGH (2024)** CEO, Australian Banking Association



CLARE BOLINGFORD (2021)
Director of Banking & Insurance,
FMA (New Zealand)



MICHELLE W. BOWMAN (2024) Governor, US Federal Reserve Board



BRIAN BROOKS (2021)
Past-Acting Comptroller, US Officer of the Comptroller of the Currency



ANDREW BRAGG (2022) Senator (Australia)



WAYNE BYRES (2022, 2024)
Past-Chair, Australian Prudential
Regulation Authority



F. CHRISTOPHER CALABIA (2022)
Past-CEO, Dubai Financial Services
Authority



BILL COEN (2022)
Past-Secretary General, Basel
Committee on Banking Supervision



MARK COOKE (2020) Chairman, Operational Risk Exchange



ALISON COTTRELL (2021)
CEO, UK Financial Services
Culture Board



JONATHAN DAVIDSON (2021)
Past-Director, UK Financial
Conduct Authority



SHARON DONNERY (2024)
Deputy Governor for Financial
Regulation, Central Bank of Ireland



ANDREA ENRIA (2024)
Past-Chair of the Supervisory Board,
European Central Bank



**NEIL ESHO (2024)**Secretary General, Basel Committee on Banking Supervision



KATHERINE GIBSON (2021)
Deputy Commissioner,
FSCA (South Africa)



CHRIS GOWER (2024)

Ex. Dir., Cross-Industry Insights Div.,

APRA (Australia)



ADRIENNE HARRIS (2024)
Superintendent, New York State
Department of Financial Services



MICHAEL HELD (2021) EVP, Legal Group, Federal Reserve Bank of New York



JAMES HENNESSY (2020, 2022) Senior Vice President, Federal Reserve Bank of New York



HO HERN SHIN (2021)
Deputy MD, Financial Supervision,
MAS (Singapore)



FRANCESCA HOPWOOD ROAD
(2022)
Head of the Bank for International
Settlements Innovation Centre, London





HANK HUANG (2023)
President, Taiwan Academy
of Banking and Finance



BRYAN HUBBARD (2023)
Past-Deputy Comptroller for
Public Affairs, US OCC



**EVA HÜPKES (2023)**Head of Regulatory and Supervisory Policies, Financial Stability Board



IAN JOHNSTON (2023) CEO, Dubai Financial Services Authority



MARION KELLY (2020) CEO, Irish Banking Culture Board



MARCUS LIM (2022)
Assistant MD, Banking and
Insurance, MAS (Singapore)



**CHARLES LITTRELL (2022)**Inspector, Central Bank of the Bahamas



TED MACDONALD (2022)
Senior Technical Specialist, Financial
Markets Standards Board



**DR. STUART MACKINTOSH (2022)** Executive Director, The Group of Thirty



MOTONOBU MATSUO (2021) Secretary-General, Japan SESC



**GREG MEDCRAFT (2020)**Director, Directorate for Financial and Enterprise Affairs, OECD



**WIM MIJS (2024)** CEO, European Banking Federation



MARTIN MOLONEY (2023) Secretary General, IOSCO



THOMAS M. NOONE (2022)
Counsel and Assistant Vice President,
Federal Reserve Bank of New York



**KEITH NOREIKA (2023)**Past-Acting Comptroller, US Officer of the Comptroller of the Currency



CRIS PARKER (2020)
Director, Australian Banking & Finance Oath





RANDAL QUARLES (2023)
Past-Vice Chair for Supervision, US
Federal Reserve Board



T. RAJA KUMAR (2023)
President, Financial Action Task Force



CHARLES RANDELL (2024)
Past-Chair, UK Financial
Conduct Authority



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Executive Director of Supervision, UK
Financial Reporting Council



CHRISTOPHER RICH (2022)
General Counsel, Financial Markets
Standards Board



MARK ROE (2021)
Head of Risk Culture, Australian
Prudential Regulation Authority



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PETER ROUTLEDGE (2022)
Chief, Office of the Superintendent of Financial Institutions, Canada



CECILIA SKINGSLEY (2024) Head, BIS Innovation Hub



BRYAN STIREWALT (2024)
Past-CEO, Dubai Financial
Services Authority



YOSHIKI TAKEUCHI (2022) Deputy Secretary-General, OECD



SIMON THOMPSON (2023)
Chief Executive Officer,
Chartered Banker Institute



RUTH WALTERS (2023) Senior Advisor, Financial Stability Institute



JOY WANN (2022)
Advisor, Bank for International
Settlements Innovation Hub



CHRIS WHITEHEAD (2021)
CEO, Financial Services
Institute of Australasia



**BOB WIGLEY (2024)** Chair, UK Finance





**SIMON WILLS (2020)**Executive Director, Operational Risk Exchange



CHRIS WOOLARD (2021)
Past-Interim CEO, UK Financial
Conduct Authority



RAIHAN ZAMIL (2023) Senior Advisor, Financial Stability Institute

**GROUND BREAKERS** 

With pioneering industry innovators



SUSAN AXELROD (2022)
Broker-Dealer Compliance Oversight
Executive, Bank of America



ALEXANDRA CHESTERFIELD (2022) Head of Behavioural Risk, Group Internal Audit, NatWest



**ZACH COSEGLIA (2023)** Managing Principal, Ropes & Gray Insights Lab



SARAH DAHLGREN (2022)
Past-Head of Supervision, Federal
Reserve Bank of New York



**JOHN FLINT (2021)**Past-Group CEO, HSBC



NANCY HARRINGTON JONES (2022) Chief Culture & Conduct Officer, Société Générale (Americas)



CARINE SMITH IHENACHO (2024)
Chief Governance and Compliance
Officer, NBIM



DANIELA JARAMILLO (2024)
Head of Sustainable Investing
(Australia), Fidelity International



CHARLES LI (2022)
Past-CEO, Hong Kong Exchanges
and Clearing Limited



**LES MATHESON (2022)**Group COO, National Australia Bank





JASON NORMAN LEE (2023) Managing Director, Legal & Regulatory, Temasek



WILLIAM ONUWA (2023) Chief Audit Executive, Royal Bank of Canada



MIREA RAAIJMAKERS (2021) Global Head of Behavioral Risk Management, ING



KANWALJIT SINGH (2022) Senior Program Officer, Bill & Melinda Gates Foundation



SIR KEITH SKEOCH (2024)
past-CEO, Standard Life Aberdeen



NICOLAI TANGEN (2024) CEO, Norges Bank Investment Management



KATIE TAYLOR (2022) Chair of the Board, Royal Bank of Canada



RIMMA TEPER (2023)
Head of Behavioural Science & Risk
Culture, Internal Audit, RBC



SHEE TSE KOON (2021) Country Head, DBS Singapore



WIES WAGENAAR (2022)
Head, Centre for Behaviour, Ethics &
Compliance Learning, ABN AMRO



ANNE WALSH (2023) Chief Investment Officer, Guggenheim Partners Investment Management



AXEL WEBER (2022)
Past-Chairman, UBS;
Past-President, German Bundesbank



LORETTA YUEN (2021) General Counsel, OCBC



THE ACADEMY

With world-renowned scholars



ALBERT-LÁSZLÓ BARABÁSI (2023) Robert Gray Dodge Professor of Network Science, Northeastern University



DAMON CENTOLA (2021)
Professor of Communication,
Sociology & Engineering, UPenn



**DIANE COYLE (2022)**Bennett Professor of Public Policy,
University of Cambridge



ROB CROSS (2022) Edward A. Madden Professor of Global Leadership, Babson College



ROBIN DUNBAR (2022)
Professor of Experimental Psychology,
Magdalen College, University of Oxford



ALEX EDMANS (2023)
Professor of Finance,
London Business School



**EMILY ERIKSON (2024)**Associate Professor of Sociology, Yale University



HENRY FARRELL (2024)
Professor of International Affairs,
Johns Hopkins



ALEX GILLESPIE (2023)
Professor of Psychological and
Behavioural Science, LSE



JONAS HEESE (2023)

Marvin Bower Associate Professor of
Business Administration, Harvard



KRISTEN JACONI (2023)
Associate Professor of the Practice in Accounting, USC



SIR JOHN KAY (2022) Emeritus Research Fellow, St John's College, University of Oxford



**DAVID KIRON (2022)**Editorial Director,
MIT Sloan Management Review



**KEVIN LALA (2024)**Professor of Behavioural and
Evolutionary Biology, St Andrews



KATHY MATSUI (2021)
Adjunct Professor of Management,
Kyoto; General Partner, MPower



**COLIN MAYER (2024)**Past-Dean, Saïd Business School





MICHAEL MUTHUKRISHNA (2024) Associate Professor of Economic Psychology, LSE



MARK PAGEL (2023)
Head of the Reading Evolutionary
Biology Group, University of Reading



**ANN PENDLETON-JULLIAN (2021)**Fellow, Stanford Center for Advanced Study in the Behavioral Sciences



**ROSHNI RAVEENDHRAN (2023)**Assistant Professor of Business
Administration, University of Virginia



**TOM READER (2021)**Associate Professor of Organisational Psychology, LSE



EDGAR SCHEIN (2022)
Professor Emeritus,
Massachusetts Institute of Technology



PAUL SEABRIGHT (2024)
Professor of Economics,
University of Toulouse



CHRISTINA SKINNER (2021)
Assistant Professor of Legal Studies &
Business Ethics, Wharton, UPenn



**KARIN THORBURN (2022)**Finance Research Chair,
Norwegian School of Economics



PETER TURCHIN (2024)
Project Leader,
Complexity Science Hub-Vienna



BOB WARDROP (2022)
Director, Cambridge Centre for
Alternative Finance



RICHARD WRANGHAM (2024)
Professor of Biological Anthropology,
Harvard University



With prestigious legal scholars and practitioners



**DOUGLAS ARNER (2024)**Professor of Law,
University of Hong Kong



**ELISE BANT (2024)**Professor of Law, Univ. of Western Australia





JOHN CRAWFORD (2023)
Professor of Law, UC Law San Francisco



SALLY DEWAR (2023) CEO, Allen & Overy Consulting



ADAM FINE (2022)
Assistant Professor,
Arizona State University



BRANDON GARRETT (2022)
Director, Wilson Center for Science and
Justice, Duke Law



HOLLY GREGORY (2023)
Co-Chair, Corporate Governance
Practice, Sidley Austin, New York



HIROKI HABUKA (2022) Specially Appointed Professor, University of Kyoto



**TODD HAUGH (2022)**Professor of Business Law and Ethics,
Kelley School of Business



**LINDA JENG (2022)**Visiting Scholar on Financial
Technology, Georgetown Law



BRAD KARP (2022) Chairman, Paul Weiss, New York



MICHELLE KIRSCHNER (2023)
Co-Chair, Global Financial Regulatory
Group, Gibson, Dunn & Crutcher



**GREG MITCHELL (2022)**Professor of Law, University of Virginia School of Law



MATTHEW NUNAN (2023)
Partner, Gibson, Dunn & Crutcher



ARI REDBORD (2022)
Head of Legal & Government Affairs,
TRM Labs



**DAVID ROUCH (2022)**Partner, Freshfields, London



MEG TAHYAR (2024) Head of Financial Institutions Group, Davis Polk & Wardwell LLP



**TOM TYLER (2022)**Professor of Law and Professor of Psychology, Yale Law School





**BENJAMIN VAN ROOIJ (2022)**Professor of Law and Society,
University of Amsterdam



CIARAN WALKER (2022)
Consultant, Eversheds Sutherland,
Dublin

PEER PERSPECTIVES

Leadership lessons from other sectors



MICHAEL ARENA (2022)
Past-VP Talent & Development,
Amazon Web Services



**ELIZABETH BRODERICK (2024)**past-Sex Discrimination Commissioner (Australia)



MICHAEL BROWN (2023)
Past-Director, Defense Innovation Unit



TOMAS CHAMORRO-PREMUZIC (2023) Chief Innovation Officer, Manpower Group



ANTOINE FERRÈRE (2022)
Global Head of Behavioral & Data
Science, Novartis



ROSE GAILEY (2023)
Partner, Heidrick & Struggles



PETER GLEASON (2024)
CEO, National Association of Corporate
Directors



CHARLES F. MCMILLAN (2022)
10th Director,
Los Alamos National Laboratory



**TIMOTHY O'NEILL (2022)**Senior Counselor in the Executive Office, Goldman Sachs



**TERRI PATTERSON (2024)**past-Chief, Behavioral Analysis Unit,
US Federal Bureau of Investigation



ADMIRAL CHARLES RICHARD (2023)
Past-Commander, US Strategic
Command (STRATCOM)



ADMIRAL MIKE ROGERS (2023)
Past-Director,
US National Security Agency





LT. COL. LANGLEY SHARP (2024) past-Head of The Centre for Army Leadership, UK Ministry of Defence



ROBERT STOUT (2024)
past-Head of Regulatory Affairs,
BP (formerly, British Petroleum)



**OWEN WEST (2022)**Past-Assistant Secretary of Defense for Special Operations (US)

**OUR VIEW** 

Perspectives from our world-class advisors



JOHN SEELY BROWN (2021)
Past-Head, Xerox PARC



DAMON CENTOLA (2022)
Professor of Communication,
Sociology & Engineering, UPenn



**SIEW KAI CHOY (2020)**Past-Managing Director, GIC



NICHOLAS CHRISTAKIS (2019)
Professor of Social and Natural Science,
Yale



JAY CLAYTON (2023)
Past-Chairman, US Securities and
Exchange Commission



GARY COHN (2020, 2021, 2022, 2023) Vice Chair, IBM; Past-Dir., US NEC; Past-Pres. & COO, Goldman Sachs



KAREN COOK (2019, 2021, 2022)
Professor of Sociology,
Stanford University



**TOM CURRY (2020, 2021)**Past-Comptroller, US Office of the Comptroller of the Currency



AMY EDMONDSON (2021, 2022)
Professor of Leadership &
Management, Harvard Business School



**JAMES H. FREIS, JR. (2021)**Past-Director, FinCEN





BETSY LEVY PALUCK (2022)
Professor of Psychology and Public
Affairs, Princeton University



RICK KETCHUM (2021) Past-Chairman & CEO, FINRA



**THOMAS MALONE (2020, 2021, 2022)**Founding Director, MIT Center for Collective Intelligence



**KEITH NOREIKA (2022)**Past-Acting Comptroller, US Office of the Comptroller of the Currency



BARBARA NOVICK (2022)
Co-founder and Past-Vice Chair,
BlackRock



SCOTT PAGE (2023)
Professor of Complexity, Social Science,
& Management, University of Michigan



**TOM READER (2022, 2023)**Associate Professor of Organisational Psychology, LSE



**RICHARD SPENCER (2022)**Past-Secretary of the US Navy



MARTIN WHEATLEY (2020)
Past-CEO,
UK Financial Conduct Authority

## **CLOSING COMMENTS**



**SIMONE DI CASTRI (2024)** Co-Head, Cambridge SupTech Lab



KLAAS KNOT (2022) Chair, Financial Stability Board



**CAROLYN ROGERS (2021)**Secretary General, Basel Committee on Banking Supervision



SIR PAUL TUCKER (2023)
Research Fellow, Harvard University;
Past-Deputy Governor, Bank of England

